

Ohio Department of Insurance

John R. Kasich – Governor
Mary Taylor – Lt. Governor/Director



Viatical Settlement Provider License Application Checklist

- Non-refundable filing fee of \$1,000.00. (Check or money order made payable to the “State of Ohio Treasurer”.) [ORC section 3916.03(A); OAC section 3901-9-01(E)(2)]
- Required documentation for questions answered in the affirmative “Yes”. [ORC section 3916.03(E)]
- Plan of Operation – Supplement A. [ORC section 3916.03(C)(1)(a)]
- Proof of financial responsibility as defined in Ohio Revised Code – Supplement B. [ORC section 3901.03(C)(1)(b)]
- Life Expectancy Methodology – Supplement C. [ORC section 3916.03(C)(1)(c)]
- Experience/Qualifications Statement – Supplement D. [ORC section 3916.03(C)(2)(b)]
- Anti-Fraud Plan – Supplement E. [ORC section 3916.03(C)(1)(c)]
- Copy of Certificate of Good Standing from home state. [ORC section 3916.03(C)(3)]
- Copy of Registration with Ohio Secretary of State. [ORC section 3916.03(E)]
- Completed Biographical Affidavits (INS7254) for all natural persons listed in Section Two of application. [ORC section 3916.03(E)]
Completed Disclosure and Authorization Concerning Background Reports (INS7255) for all natural persons;
Evidence a background report has been ordered for each natural person from an approved verifier.