

Ohio Department of Insurance

John R. Kasich – Governor
Mary Taylor – Lt. Governor/Director



Insurers Issuing Life Insurance Policies Certification of Compliance Appendix A

I, the undersigned officer of _____, (herein referred to as the “Company”), an insurance company issuing life insurance policies in the State of Ohio, hereby certify that the Company has in place review procedures to detect and prevent stranger-originate life insurance (“STOLI”) transactions.

If the Company also has in place anti-STOLI procedures indicated below, please check appropriate boxes.

Applicable Review Procedures:

- The Company’s anti-fraud plan includes measures intended to identify and prevent STOLI transactions.
- The Company’s underwriting procedures include measures intended to identify and prevent the issuance of STOLI policies, as defined in section 3916.01(W) of the Revised Code.
- The Company’s procedures related to processing requests for changes in ownership of life insurance policies include efforts to identify and prevent STOLI.

Additional information regarding the Company’s procedures is available for inspection by the Department.

Name

Date

Title

Company NAIC Number

Please E-Mail to: Market.conduct@insurance.ohio.gov