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Mary Jo Hudson, Director

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TO: All Insurers Authorized to Transact Insurance in Ohio
FROM: Gary W. Burchfield, Management Analyst Supervisor
DATE: December 1, 2006
RE: Filing Hard Copy Annual Statements and Other Documents with Ohio

It has been called to our attention that the annual filing *Checklists* in a number of states require foreign insurers to file hard copies of their Annual Statements and other filings with those states if a hard copy is not filed with the insurer's domestic state. The Ohio Department of Insurance (ODI) does not require insurance companies (foreign or domestic) to file Annual Statements in hard copy directly with the ODI. However, ODI and the National Association of Insurance Commissioners (NAIC) require each insurance company (with a few limited exceptions) to file a hard copy and an electronic copy with the NAIC by March 1 of each year. ODI considers these filings to meet Ohio's filing requirements when filed with the NAIC, based upon the officers' attestations as shown in the Annual Statement.

On the Jurat Page of each insurer's Annual Statement filed with the NAIC, the officers each depose and attest that the corresponding electronic filing with the NAIC, when required, is an exact copy (except for formatting differences due to electronic filing) of the hard copy of their Annual Statement. The attestation also states "The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement." ODI accesses the NAIC database to obtain copies of the required filings.

In conclusion, ODI considers Annual Statements, Quarterly Statements and NAIC supplements filed with the NAIC electronically and in hard copy as meeting the filing requirements for filing those documents with the State of Ohio. Please review the *Checklists* of annual filing requirements on the Ohio Department of Insurance website at www.ohioinsurance.gov for filing requirements.

We suggest that all Ohio domestic insurers file a copy of this memorandum with all jurisdictions requiring the filing of hard copies with their state if not filed in hard copy with Ohio. Do not send hard copy Annual Statements to ODI unless requested.

