



ODI

Ohio Department
of Insurance

Annual Title Review Filing System

John R. Kasich, Governor

Mary Taylor, Lt. Governor/Director

Ohio Revised Code 3953.33

Every title insurance agent or agency that handles escrow, settlement, closing, or security deposit accounts shall have an independent review made of its escrow, settlement, closing and security deposit accounts each year.

Ohio Administrative Code

3901-7-01

Outlines the criteria for the annual independent review.

The rule requires each agent and agency to file either an independent annual review or an annual claim of exemption on or before January 15th.

Exemptions

- 1) An agent that does not handle escrow account funds related to Ohio transactions.
- 2) An agent that averages five Ohio transactions or less per month during the period ending August thirty-first if the agent's escrow accounts have been reviewed during that twelve month period by one or more of the title insurance companies by which it had been appointed.

Exemptions

Annual filings are required to be submitted by all title agents and agencies, even if a title agent or agency qualifies for an exemption to the independent annual review or their escrow accounts (CPA review).

Annual Title Review Filing System

The Department is excited to announce the rollout of a user-friendly, and secure web-based filing option called the **Annual Title Review Filing System** just in time for the 2014 annual title filing process.

Annual Title Review Filing System

As the **Annual Title Review Filing System** greatly streamlines the submission of annual reviews, all agents, agencies and their representatives are highly encouraged to utilize the system to submit their 2014 filings.

Annual Title Review Filing System

The new **Annual Title Review Filing System** can be accessed through the Department's website:

www.insurance.ohio.gov

Annual Title Review Filing System

The new **Annual Title Review Filing System** will capture all information previously reported on the Annual Title Agent / Agency Review Form (INS3283).

INS3283

Enforcement Division
50 W. Town St., 3rd Floor
Suite 300
Columbus, OH 43215
1-800-686-1527
www.insurance.ohio.gov

Ohio Department of Insurance
John R. Kasich – Governor
Mary Taylor – Lt. Governor/Director



2014 ANNUAL TITLE AGENT/AGENCY REVIEW FORM

(For the twelve-month period of September 1, 2013 thru August 31, 2014)

Due by January 15, 2015

Effective September 1, 2014, title agents, agencies and their representatives will be able to file their 2014 annual reviews using the Department's new, user-friendly, web-based filing option called the **Annual Title Review Filing System**. The system, which can be accessed via the Department's website (www.insurance.ohio.gov), captures all information previously reported on Annual Title Agent / Agency Review Form (INS3283), and streamlines the submission of annual reviews. **All agents and agencies are encouraged to utilize the Department's Annual Title Review Filing System for the 2014 filing period, utilizing the INS3283 as a guide for gathering the information they will be required to submit.** To obtain a user name and password for the Annual Title Review Filing System, please visit the featured link entitled "2014 Annual Title Filing Instructions" on the Department's website (www.insurance.ohio.gov).

SECTION #1 – AGENT/AGENCY CONTACT INFORMATION

Please check which type of filing you are submitting:

Note: Only one box should be selected as separate filings are required for individuals who hold both an individual and a business entity license.

AGENT Filing AGENCY Filing

Agency Name/Agent Last Name:	Agent First Name: (if applicable)	Agent Middle Initial: (if applicable)	National Producer Number (NPN):
------------------------------	--------------------------------------	--	---------------------------------

List your BUSINESS contact information below:

Business Address:	City, State:	Zip Code:	Business Phone Number:
Business Fax Number:	E-mail Address:		

FOR AGENT FILINGS ONLY: List your RESIDENTIAL contact information below:

Home Address:	City, State:	Zip Code:	Home Phone Number:
Mobile Number:	Personal E-mail Address:		

Note: The Annual Title Agent/Agency Review Form cannot be utilized as an address change request. Formal address change requests are to be reported through the National Producer Insurance Registry (www.nipr.com).

Once Section 1 has been completed, please move on to complete Section 2.

Annual Title Review Filing System

In order to use the new system, title agents and agency representatives must have a “Gateway Account” with the Department.

If you have already signed up for a Gateway account in the past, there is no need to sign up for a new account.

Annual Title Review Filing System

To register for a Gateway Account, please visit:

<https://gateway.insurance.ohio.gov>

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[Log In](#)

[Ohio.gov](#) [State Agencies](#) | [Online Services](#)



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Ohio Department
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Gateway

Welcome to Ohio Department of Insurance Application Gateway

Please sign in:

User Name:

Password:

Please [click here](#) to request a new account with ODI.
Already have an account, but cannot access it? [Click here](#).

For additional questions regarding your ODI Gateway account, or questions regarding ODI applications, please email GatewayAdmin@insurance.ohio.gov, or call 1-877-737-1057.

Annual Title Review Filing System

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Ohio Department of Insurance **Account Request - Disclaimer**

Please read the information below. To continue, please indicate your agreement by clicking the "I Agree" button at the bottom of this screen.



Step 1 of 5 - Review Disclaimer

Revised January 16, 2008 - The Ohio Department of Insurance (Department) recognizes the importance of privacy to our web site visitors. Therefore, visitors should be aware of the following information:

Public Records

Visitors should be aware that, subject to certain statutory exceptions, most documents and records maintained by the Department, including but not limited to electronic data, are public records under Ohio law. Therefore, information submitted through this web site may be subject to disclosure pursuant to a public records request.

Usage Statistics

In order to generate usage statistics to help us improve our web site, we automatically collect information on every visitor to this web site. However, this information does not disclose "personal identifiers" (such as name, address, telephone number, e-mail address, etc.). Each time a request is made to view a page within this web site, our web site creates a log file capturing generic user information. The following information is compiled to produce web usage statistics:

- The IP address of the computer accessing our web site
- The date and time the computer accesses our web site
- The type of internet browser, operating system, and screen resolution of the visitor
- The internet address of the site from which the visitor linked to our web site

All information collected by way of server log files is used in aggregate form only and may be analyzed for trends and statistics.

Annual Title Review Filing System

- The IP address of the computer accessing our web site
- The date and time the computer accesses our web site
- The type of internet browser, operating system, and screen resolution of the visitor
- The internet address of the site from which the visitor linked to our web site

All information collected by way of server log files is used in aggregate form only and may be analyzed for trends and statistics.

Online Forms and E-mail

This web site will not collect personally identifiable information (such as name, address, telephone number, etc.) unless you provide such information voluntarily and when necessary to provide a service to the public or to other state agencies. Any personal information voluntarily provided by visitors, including personal information from online forms and e-mail, will be used for the purpose stated on the web page and may also be shared with our staff and other agencies as required by law or pursuant to agency authority. Information submitted through this web site also may be subject to disclosure pursuant to a public records request.

Web Cookies

Cookies are unlikely to be found anywhere on our web site. However, temporary cookies may be used when necessary to complete a specific transaction. If a persistent cookie is required, the web page discloses to visitors that a persistent cookie is being used. Any information collected on our web site that includes information from persistent web cookies may also be subject to disclosure pursuant to a public records request.

Choices Available to Visitors Regarding Collection, Use and Distribution of Information

Visitors will only receive information from us in response to a request. There may be instances where we send ongoing updates or announcements to interested parties. In such cases, visitors will have the choice whether or not to receive these updates or announcements.

Security Measures

The Department will follow Ohio laws that require the establishment of reasonable precautions to prevent personal information from unauthorized modification, destruction, use, or disclosure. We take very seriously the integrity of the information and systems we maintain. Therefore, we have instituted security measures for all information systems under our control. These security measures are designed to identify attempts to tamper with this web site. Information collected through these security measures may be used in connection with a criminal prosecution or other legal proceedings.

Access and Dispute of Information Rights

Pursuant to Ohio Revised Code, section 149.43, 1347.08 and 1347.09, users have rights to their information given to this site, in respect to inspecting, correcting and disputing. This is applicable to any information collected, used or maintained on the insurance.ohio.gov web site.

Contact

Any further questions concerning the privacy policy for www.insurance.ohio.gov should be forwarded here: public_records_request@ins.state.oh.us

Link Policy

Insurance.ohio.gov operates as a public service to Ohio residents and visitors. The Department's website, insurance.ohio.gov, provides links to external web sites that are not maintained or controlled by the Department. The Department is not responsible for the content of external web sites. A link to a non-governmental web site does not constitute endorsement. The decision as to which links will appear on the Department's website is within the sole discretion of the Department.

Annual Title Review Filing System

BUSINESS AREA AND/OR APPLICATION SELECTION

<input type="radio"/> Agent Health Insurance Exchange Registration	This application is currently limited to Ohio licensed insurance agents who wish to have their Federal Health Insurance Exchange Registration noted on their Ohio insurance record. Access is not available to any individual who does not have an active Ohio insurance license and a National Producer Number (NPN).
<input type="radio"/> Annual Title Agent/Agency Review	Online submission of the Annual Title Review form for licensed Title Agents & Agencies.
<input type="radio"/> Consumer Services Communications	Select if you received a message requiring a secure login to view a message from the Consumer Services Division (CSD).
<input type="radio"/> Education Provider Portal	This application is limited to Continuing Education Providers. Access is denied if you are not affiliated with an Ohio approved Continuing Education Provider.
<input type="radio"/> Fiscal Epay	The application used to pay invoices sent by the Ohio Department of Insurance.
<input type="radio"/> Licensing CE	This application is strictly limited to Prometric.
<input type="radio"/> Missing Life Policy Search	The Ohio Department of Insurance's missing life insurance policy search service assists Ohio residents, and the families of deceased Ohio residents, in locating lost insurance policies that were purchased in the state. This application is to be used by insurance companies selling business in Ohio to aid in the search and discovery of these lost insurance policies.
<input type="radio"/> OSHIIP	The Ohio Senior Health Insurance Information Program (OSHIIP) provides free health insurance information and services to Medicare beneficiaries and the people that care for them. OSHIIP offers a toll-free hotline, 1-800-686-1578, free publications, a speakers bureau and training for volunteers.
<input type="radio"/> Premium Quotes	Insurers use a template spreadsheet, provided by the Department, to upload current premium quotes for various rating scenarios in order to participate in ODI's online premium quotes program.
<input type="radio"/> RiskExamNW	Risk assessment exam file uploads. This application is to only be used by insurance companies needing to upload files in support of examinations conducted by ODI.

Continue



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Home | About ODI | Contact | Secured Logon Ohio.gov State Agencies | Online Services

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Ohio Department of Insurance **New ODI Account – Personal Information**

In order to protect your security and ensure the interests of the citizens of Ohio are safeguarded, there are some basic rules that ODI requires to be followed when an account is requested:

- When filling out the request form, you agree that the account you are requesting is for your use only and you will not share your account information. (Each person needing access to our systems must request their own account.)
- The name you enter must be your own name.
- The email address you enter needs to be for an email account that only you use, and this email account is not shared and is not a generic account.
- ODI routinely requires a password change to protect our systems and your account. If you are suddenly not able to log in, please change your password. A link is on the log in page.

By clicking the checkbox below you agree that you are abiding by the conditions set forth above, and that you acknowledge that if ODI determines you have not created your account as set forth above, ODI may, without notice, terminate that account and remove all access to its systems for that account.

I Agree


Step 3 of 5 – Provide Personal Information

Please fill out the form below. We need this information to confirm your identity and create your account.

** indicates a required field*

Personal & Identification Information

Salutation: *First Name: Middle Name: *Last Name: Suffix:

*Date Of Birth:

*Security Question: *Answer: *Re-enter Answer:

Organizational Affiliation

Simply start typing the name of your organization. After a list of organization names appears, please select one. Please note, if your organization is not in the list, simply leave this and the affiliation type fields blank.

Affiliation Type:

Contact Information

Annual Title Review Filing System

- Applicants will receive a confirmation number and e-mail once their request for a Gateway Account is submitted.
- To finalize the account process, it will be necessary to access the link included in the confirmation e-mail.

Annual Title Review Filing System

The Department does permit CPA's & agent/agency representatives to obtain Gateway accounts so they are able to submit filings on behalf of an agent or agency.

Regardless of the number of filings to be submitted, a CPA or representative will only need one Gateway account.

Annual Title Review Filing System

Gateway account information is not to be shared, so if someone will be filing a review on your behalf, they will need to obtain their own Gateway account from the Department.

Information Needed To Submit A Filing

- ✓ National Producer Number (NPN)
- ✓ Error & Omission Coverage Information
- ✓ Surety Bond Coverage Information
- ✓ Interest On Trust Accounts (IOTA)
- ✓ Underwriter Audit Information
- ✓ Independent Annual Review

How To Look Up An NPN Number

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College Bound: Parents Should Ensure Children Have Adequate Insurance



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[Print my Agent License](#)

[Health Insurance Toolkit](#)

[Consumers - How do I...](#)

[Find an agent](#)

[Find an Administrative Action on an agent](#)

[File a fraud complaint](#)

[File a consumer complaint](#)

[Determine if a company is licensed](#)

[Compare auto insurance premiums](#)

[Apply for the High Risk Pool](#)

News

- 08/28/2014 Taylor Urges Ohioans to Prepare for Upcoming Medicare Open Enrollment
- 08/27/2014 Ohioans Should Evaluate Life Insurance Needs to Protect Loved Ones
- 08/19/2014 College Bound: Parents Should Ensure Children Have Adequate Insurance
- 08/11/2014 Columbus and Dayton-area Roofer Sentenced to 48 Months in Prison
- 07/18/2014 Taylor Urges Parents to Discuss Safe Driving With Teen, Review Insurance

Insurance In The News

Taylor urges parents to talk to teen drivers
LimaOhio.com

Taylor announces insurance agent fee reduction

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Ohio Department of Insurance **Agent / Agency Locator**

[Agent / Agency Search](#) | [Other License Type Search](#) | [Navigator Search](#) | [Helpful Links](#)

Search For: 
Last Name: **e:** **Middle Name:**
License #: **National Producer #:**
City:
Residency: 
License Type:  

Active Licenses Only
 Exchange Registered Agents Only

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Ohio Department of Insurance **Agent / Agency Locator**

Agent / Agency Search Other License Type Search Navigator Search Helpful Links

Search For: Individuals (Agents) ▼

Last Name: Carson **First Name:** Lee **Middle Name:**

License #: **National Producer #:**

City:

Residency: -- Any -- ▼

License Type: -- Any -- ▼

Active Licenses Only
 Exchange Registered Agents Only

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Home | About ODI | Contact | Secured Logon



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Ohio Department of Insurance Agent / Agency Locator

Agent / Agency Search | Other License Type Search | Navigator Search | Helpful Links

Search For: Individuals (Agents) ▾

Last Name: Carson First Name: Lee Middle Name:

License #: National Producer #:

City:

Residency: -- Any -- ▾

License Type: -- Any -- ▾ ?

Active Licenses Only
 Exchange Registered Agents Only

AGENT LIST (Displaying items 1 - 1 of 1 matching records) 1/1 10 ▾

Agent Name & Mailing Address	Additional Contact Info	Federal Health Exchange Registered	Status
CARSON, LEE 50 W Town Street Columbus, OH 43215	Business Location: (614) 644-2658		Active View Agent Profile

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Ohio Department of Insurance Individual License Details

Name: NPN#:

Contact Information	Agent Printable License	Agent Printable CE Transcript
<p>Business Location Phone</p> <p>614-644-2658</p> <p>Mailing Licensing Address</p>	<p><i>* indicates a required field</i></p> <p>Agent's Last 4 SSN: *</p> <input type="text"/> <p>License Number: *</p> <input type="text"/> <input type="button" value="Click to View License"/>	<p><i>* indicates a required field</i></p> <p>Agent's Last 4 SSN: *</p> <input type="text"/> <p>License Number: *</p> <input type="text"/> <input type="button" value="Click to View CE Transcript"/>

License Information						
License #	License (Lines of Authority)	Status	Reason	Originally Issued	Effective Date	End/Expiration Date
773344	Individual - Resident - Surety Bail Bond	Active	Renewal	09/19/2008	03/01/2011	02/28/2015
	■ Surety Bail Bond	Active		09/19/2008	03/01/2011	
773345	Individual - Resident - Limited Lines	Active	Renewal	09/19/2008	07/01/2011	05/31/2015
	■ Credit	Active		09/19/2008	09/01/2009	
	■ Crop	Active		09/19/2008	09/01/2009	
	■ Funeral	Active		09/19/2008	09/01/2009	
773346	Individual - Resident - Major Lines	Active	Renewal	09/19/2008	07/01/2011	05/31/2015
	■ Accident & Health	Active		09/19/2008	06/01/2013	
	■ Casualty	Active		09/19/2008	06/01/2013	
	■ Life	Active		09/19/2008	06/01/2013	
	■ Property	Active		09/19/2008	06/01/2013	
	■ Variable	Active		09/19/2008	06/01/2013	

Annual Title Review Filing System

The screenshot shows the Ohio Department of Insurance website. A callout box with a blue arrow points to the 'Secured Logon' link in the top navigation bar. The website features a header with the ODI logo and a navigation menu. A large banner for Medicare Open Enrollment is visible, along with a photo of Mary Taylor, Lt. Governor / Director. Below the banner are several buttons: 'Renew my Agent License', 'Print my Agent License', and 'Health Insurance Toolkit'. A 'News' section lists several articles from August 2014. At the bottom right, there is a logo for 'OHIO'S JOBS'.

http://www.insurance.ohio.gov/Pages/default.aspx

File Edit View Favorites Tools Help

Home | About ODI | Contact | Secured Logon

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Consumer Affairs | Medicare Services | Agent/Agency Services | ODI Services | Newsroom | Policy & Legislation

Questions About Medicare?
Join OSHIIP at one of our FREE Medicare Check-Up Days or call 1-800-686-1578

Medicare Open Enrollment - October 15 - December 7
Statewide Check-Up Day events are underway and run through open enrollment!

Mary Taylor
Lt. Governor / Director

Renew my Agent License

Print my Agent License

Health Insurance Toolkit

News

- 08/28/2014 Taylor Urges Ohioans to Prepare for Upcoming Medicare Open Enrollment
- 08/27/2014 Ohioans Should Evaluate Life Insurance Needs to Protect Loved Ones
- 08/19/2014 College Bound: Parents Should Ensure Children Have Adequate Insurance
- 08/11/2014 Columbus and Dayton-area Roofer Sentenced to 48 Months in Prison
- 07/18/2014 Taylor Urges Parents to Discuss Safe Driving With Teen, Review Insurance

OHIO'S JOBS

Annual Title Review Filing System

The screenshot shows a web browser window displaying the Ohio Department of Insurance website. The address bar shows the URL: <http://www.insurance.ohio.gov/secured/Pages/SecuredApps.aspx>. The page header includes navigation links: Home | About ODI | Contact | Secured Logon. The ODI logo and name are prominently displayed. A search bar is located on the right side of the header. Below the header, a dark blue navigation bar contains links: Consumer Affairs | Medicare Services | Agent/Agency Services | ODI Services | Newsroom | Policy & Legislation. The main content area is titled "Select Application" and contains the following text: "Click the name of the application you need to access or have a question about. The next page will give you more information about the application and actions you can take in regard to the application. Applications are listed alphabetically." A list of applications is provided, with the second item, "Annual Title Agent/Agency Review - Electronic Filing Form", circled in blue. This item includes links for "Login" and "Password Reset". Other applications listed include "Annual Report Of Ohio Health Insurance Business", "Consumer Services Division", "Contract & Credentialing", and "EPAY - Electronic ACH Payment & Invoice Viewing System". A "Quick Links" sidebar on the right contains links for Administrative Actions, Agent/Agency Locator, Authorized Companies, Consumer Publications, File a Complaint with ODI, ODI Ombudsman, and ODI Forms.

Home | About ODI | Contact | Secured Logon

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Search this site...

Consumer Affairs | Medicare Services | Agent/Agency Services | ODI Services | Newsroom | Policy & Legislation

Select Application

Click the name of the application you need to access or have a question about. The next page will give you more information about the application and actions you can take in regard to the application.

Applications are listed alphabetically.

- [Annual Report Of Ohio Health Insurance Business](#)
[Login](#) | [Password Reset](#)
- [Annual Title Agent/Agency Review - Electronic Filing Form](#)
[Login](#) | [Password Reset](#)
- [Consumer Services Division](#)
- [Contract & Credentialing](#)
[Login](#) | [Password Reset](#)
- [EPAY - Electronic ACH Payment & Invoice Viewing System](#)
[Login](#) | [Password Reset](#)

Quick Links

- [Administrative Actions](#)
- [Agent/Agency Locator](#)
- [Authorized Companies](#)
- [Consumer Publications](#)
- [File a Complaint with ODI](#)
- [ODI Ombudsman](#)
- [ODI Forms](#)

Annual Title Review Filing System

The screenshot shows a web browser window with the URL <https://extranet.insurance.ohio.gov/titlereview/default.aspx>. The browser's address bar shows the Ohio Department of Insurance logo and the page title "Home - Annual Title...". The browser's menu bar includes "File", "Edit", "View", "Favorites", "Tools", and "Help". The browser's toolbar includes "Home", "Print", "Page", "Safety", "Tools", and a help icon.

The main content area of the web application features a header with the text "Return to ODI Gateway" on the left and "Welcome DCBarney@ins.state.oh.us" on the right. The header also includes the "Annual Title Agent/Agency Review" logo and the "Ohio Department of Insurance" logo. Below the header, the main heading reads "Ohio Department of Insurance Annual Title Agent/Agency Reviews".

The central part of the page contains a "Select filing year:" label, followed by two buttons: "2014" and "2013". Below the "2014" button, the text reads "Compliance period: 9-1-2013 to 8-31-2014" and "Filing Due Date: 1-15-2015". Below the "2013" button, the text reads "Compliance period: 9-1-2012 to 8-31-2013" and "Filing Due Date: 1-15-2014".

The footer of the page contains the "Ohio Department of Insurance" logo and the following contact information: "50 W. Town Street, Third Floor - Suite 300 Columbus, Ohio 43215", "General Info: 614-644-2658 | Consumer Hotline: 800-686-1526 | Fraud Hotline: 800-686-1527 | OSHIP Hotline: 800-686-1578".

Annual Title Review Filing System

[Return to ODI Gateway](#)

Welcome DCBarney@ins.state.oh.us ▾



Ohio Department of Insurance 2014 Annual Title Agency / Agent Reviews Due by January 15, 2015

[Enter New Annual Review](#)

[Home](#)

[Sign Out](#)

Entered Annual Review Forms:

Agency Forms:

- [barney](#) Submitted on Tue Aug 5 2014
- [Barney](#) Submitted on Tue Aug 19 2014
- [Anytime Title Agency](#) Submitted on Wed Aug 27 2014

Agent Forms:

- [David Barney](#) Submitted on Wed Aug 27 2014
- [David Barney](#) Submitted on Thu Aug 28 2014

Ohio Department of Insurance

50 W. Town Street, Third Floor - Suite 300 Columbus, Ohio 43215
General Info: 614-644-2658 | Consumer Hotline: 800-686-1526 | Fraud Hotline: 800-686-1527 | OSHIIP Hotline: 800-686-1578

Annual Title Review Filing System

The **Annual Title Review Filing System** will prompt you to enter specific data based upon the manner in which questions are answered.

Annual Title Review Filing System

https://extranet.insurance.ohio.gov/titlereview/2014TitleReviewForm.aspx?& Ohio Department of In... Annual Title Review ...

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50 W. Town St., 3rd Floor
Suite 300
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1-800-686-1527
www.insurance.ohio.gov

Ohio Department of Insurance
John R. Kasich – Governor
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**2014 ANNUAL TITLE AGENT/AGENCY
REVIEW FORM**

(For the twelve-month period of September 1, 2013 thru August 31, 2014)

SECTION #1 – AGENT/AGENCY CONTACT INFORMATION

Please check which type of filing you are submitting: Note: separate filings are required for individuals who hold both an individual and a business entity license.

Agent Agency

Agent Last Name: * Agent First Name: * Middle Initial: National Producer Number (NPN): *

Phone: * E-mail: *

List your BUSINESS contact information below:

Business Address: City: State: Zip: Business Phone:

Business Fax Number Business E-mail Address:

List your RESIDENTIAL contact information below:

Home Address: * City: * State: * Zip: *

* Note: The Annual Title Agent/Agency Review Form cannot be utilized as an address change request.
Formal address change requests are to be reported through the National Insurance Producer Registry (www.nipr.com).

SECTION #2 – SUPPLEMENTARY INSURANCE INFORMATION

Select the options below that apply to your Errors and Omission Insurance Coverage:

Annual Title Review Filing System

REMINDER

Formal address change requests are to be reported through the National Producer Insurance Registry (www.nipr.com).

Annual Title Review Filing System

The screenshot shows a web browser window with the URL <https://extranet.insurance.ohio.gov/titlereview/2014TitleReviewForm.aspx?> and the page title "Annual Title Review". The browser's address bar shows "Ohio Department of In...". The page content includes a form for residential contact information with fields for Home Address, City, State, and Zip. A red note states: "* Note: The Annual Title Agent/Agency Review Form cannot be utilized as an address change request. Formal address change requests are to be reported through the National Insurance Producer Registry (www.nipr.com).". Below this is "SECTION #2 – SUPPLEMENTARY INSURANCE INFORMATION" with instructions to select options for Errors and Omission Insurance Coverage. The options are: I have Errors and Omission Insurance Coverage in the name of the AGENT or AGENCY named on this filing. I am covered under my employer's Errors and Omission Insurance Coverage. I do not have Errors and Omission Insurance Coverage. I am exempt from having Errors and Omission Insurance Coverage because I am an employee of the Title Insurance Company and/or Underwriter noted below: Next, check the box below that applies to your Surety Bond Coverage: I am not required to have a surety bond because I do not handle escrows in real property transactions that do not involve the issuance of title insurance. I have a surety bond because I handle escrows in real property transactions that do not involve the issuance of title insurance. I do not have Surety Bond Coverage: Below this is "SECTION #3 – DEPOSITORY ACCOUNT INFORMATION" with instructions to select the option below that applies: The title agent/agency named on this form does not maintain an IOTA account because no non-directed escrow funds meeting the requirements of sections 1349.20 to 1349.22 of the Ohio Revised Code are handled by the agent. The title agent/agency named on this form does not maintain an IOTA account because all escrow funds are handled through an IOTA account maintained by the Ohio licensed Title Insurance Agency noted below: The title agent/agency named on this form does maintain IOTA accounts.

List your RESIDENTIAL contact information below:

Home Address: * City: * State: * Zip: *

* Note: The Annual Title Agent/Agency Review Form cannot be utilized as an address change request. Formal address change requests are to be reported through the National Insurance Producer Registry (www.nipr.com).

SECTION #2 – SUPPLEMENTARY INSURANCE INFORMATION

Select the options below that applies to your Errors and Omission Insurance Coverage:

Important Note: If you are an attorney and you have listed your professional liability policy as your Errors and Omissions Insurance Coverage, you are required to verify your policy covers all of your activities as a title agent and meets all of the conditions outlined in Ohio Revised Code 3953.23(D) and Ohio Administrative Rule 3901-7-02 (D) prior to submitting your annual review.

- I have Errors and Omission Insurance Coverage in the name of the AGENT or AGENCY named on this filing.
- I am covered under my employer's Errors and Omission Insurance Coverage.
- I do not have Errors and Omission Insurance Coverage.
- I am exempt from having Errors and Omission Insurance Coverage because I am an employee of the Title Insurance Company and/or Underwriter noted below:

Next, check the box below that applies to your Surety Bond Coverage:

- I am not required to have a surety bond because I do not handle escrows in real property transactions that do not involve the issuance of title insurance.
- I have a surety bond because I handle escrows in real property transactions that do not involve the issuance of title insurance.
- I do not have Surety Bond Coverage:

SECTION #3 – DEPOSITORY ACCOUNT INFORMATION

Select the option below that applies:

- The title agent/agency named on this form does not maintain an IOTA account because no non-directed escrow funds meeting the requirements of sections 1349.20 to 1349.22 of the Ohio Revised Code are handled by the agent.
- The title agent/agency named on this form does not maintain an IOTA account because all escrow funds are handled through an IOTA account maintained by the Ohio licensed Title Insurance Agency noted below:
- The title agent/agency named on this form does maintain IOTA accounts.

Annual Title Review Filing System

https://extranet.insurance.ohio.gov/titlereview/2014TitleReviewForm.aspx?& Ohio Department of In... Annual Title Review ...

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I do not have Errors and Omission Insurance Coverage.

I am exempt from having Errors and Omission Insurance Coverage because I am an employee of the Title Insurance Company and/or Underwriter noted below:

Next, check the box below that applies to your Surety Bond Coverage:

I am not required to have a surety bond because I do not handle escrows in real property transactions that do not involve the issuance of title insurance.

I have a surety bond because I handle escrows in real property transactions that do not involve the issuance of title insurance.

I do not have Surety Bond Coverage:

SECTION #3 – DEPOSITORY ACCOUNT INFORMATION

Select the option below that applies:

The title agent/agency named on this form does not maintain an IOTA account because no non-directed escrow funds meeting the requirements of sections 1349.20 to 1349.22 of the Ohio Revised Code are handled by the agent.

The title agent/agency named on this form does not maintain an IOTA account because all escrow funds are handled through an IOTA account maintained by the Ohio licensed Title Insurance Agency noted below:

The title agent/agency named on this form does maintain IOTA accounts.

While all agent depository institution accounts, including operating and non-fiduciary accounts that existed at any time during the review period are required to be analyzed as part of the annual review, agents and agencies are only required to provide the Department with a listing of all Interest On Trust Accounts (IOTA) used for the deposit of non-directed escrow funds maintained by the Agent/Agency named on this form.

Using the below table, provide a listing of all Interest on Trust Accounts(IOTA) used for the deposit of non-directed escrow funds that are maintained by the agent/agency named on this form during the reporting period:
- Include existing accounts and accounts opened or closed during the reporting period.

Name or Title of IOTA Account	Account #	Depository Institution	Depository City and State	Date Opened	Date Closed
Add Interest on Trust Account					

SECTION #4 – DETERMINATION OF FILING STATUS

Please answer the questions below to determine your filing status:

Do you handle escrow funds of clients or third parties that are required to be deposited in an IOTA account **in your name**?

Yes No

SECTION #5 – AGENT/AGENCY EXPLANATIONS

Annual Title Review Filing System

[Add Interest on Trust Account](#)

SECTION #4 – DETERMINATION OF FILING STATUS
Please answer the questions below to determine your filing status:

Do you handle escrow funds of clients or third parties that are required to be deposited in an IOTA account in your name?

Yes No

Have you had your escrow accounts reviewed by one or more of the companies with whom you were appointed during the twelve-month period?

Yes No

Please list below the date of the review and the name of the insurance company:

Date of Insurance Company Review:	Name of Insurance Company Who Performed the Review:

[Add Company Review](#)

Did the title agent/agency named on this form average five or less Ohio transactions per month during the review period that are required to be deposited in an IOTA account in your name?

Yes No

SECTION #5 – AGENT/AGENCY EXPLANATIONS
Does your Independent Annual Review have any findings?

Yes No

SECTION #6 – ATTACHMENTS

[Attach File](#)

Annual Title Review Filing System

I hereby certify that, under penalty of perjury, all of the information submitted in the above filing is true, complete and accurate. I am aware that submitting false information or omitting pertinent or material information in connection with this form is grounds for license revocation and may subject me to civil and/or criminal penalties.

Must check this box to acknowledge form is true, complete and accurate

Cancel

Save Form

Submit Form

E-Mail Confirmation

The Department will send a confirmation e-mail to the individual who logged into the system and submitted an annual review.

E-Mail Confirmation

Barney, David

From: Title.Filing@Insurance.ohio.gov
Sent: Thursday, August 28, 2014 10:21 AM
To: Barney, David
Subject: 2014 Agent Title Review

Congratulations! The 2014 Annual Title Review for David Barney has been successfully submitted to the Ohio Department of Insurance.

Reference information:
Title Review Form ID: 130
Submitted: 8/28/2014 10:21:02 AM

Sincerely,
Ohio Department of Insurance Enforcement Division

E-Mail Confirmation

Agents and agencies who authorize a CPA or representative to file their review on their behalf will not receive a confirmation e-mail from the Department.

It is suggested you request a copy of the confirmation e-mail from your CPA or representative so you have a copy for your records.

Annual Title Review Filing System

The **Annual Title Review Filing System** will maintain copies of all of the annual reviews filed on an agent or agency's behalf.

Return to ODI Gateway Welcome DCBarney@ins.state.oh.us

Annual Title Agent/Agency Review **Ohio** Department of Insurance

Ohio Department of Insurance
2014 Annual Title Agency / Agent Reviews
Due by January 15, 2015

[Enter New Annual Review](#) [Home](#) [Sign Out](#)

Entered Annual Review Forms:

Agency Forms:

- [barney](#) Submitted on Tue Aug 5 2014
- [Barney](#) Submitted on Tue Aug 19 2014
- [Anytime Title Agency](#) Submitted on Wed Aug 27 2014

Agent Forms:

- [David Barney](#) Submitted on Wed Aug 27 2014
- [David Barney](#) Submitted on Thu Aug 28 2014

Ohio Department of Insurance
50 W. Town Street, Third Floor - Suite 300 Columbus, Ohio 43215
General Info: 614-644-2658 | Consumer Hotline: 800-686-1526 | Fraud Hotline: 800-686-1527 | OSHIIP Hotline: 800-686-1578

Annual Title Review Filing System

The individual who submitted the filing will be the only individual with the ability to access, view or print a completed filing.

Agents and agencies may request a copy of their annual review from the Department if they are unable to obtain a copy directly from the individual who filed the review on their behalf.

Live Demonstration



Final Reminders

- ✓ 2014 annual reviews must be submitted to the Department by **January 15, 2015**.
- ✓ Be sure to obtain a Gateway account prior to the submission deadline.
- ✓ Gateway accounts are not to be shared.
- ✓ Once a review has been submitted through the Annual Title Review Filing System, there is no need to mail or e-mail copy of your review to the Department.
- ✓ Retain your e-mail confirmation to show your annual review was filed.

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College Bound: Parents Should Ensure Children Have Adequate Insurance



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News

- 08/28/2014 Taylor Urges Ohioans to Prepare for Upcoming Medicare Open Enrollment
- 08/27/2014 Ohioans Should Evaluate Life Insurance Needs to Protect Loved Ones
- 08/19/2014 College Bound: Parents Should Ensure Children Have Adequate Insurance
- 08/11/2014 Columbus and Dayton-area Roofer Sentenced to 48 Months in Prison
- 07/18/2014 Taylor Urges Parents to Discuss Safe Driving With Teen, Review Insurance

Insurance In The News

Kroger who worked in Dayton gets 48 months for fraud
Dayton Business Journal

Taylor urges parents to talk to teen drivers
LimaOhio.com

Taylor announces insurance agent fee reduction
City News Ohio

Featured Links

- [2015 Exchange Premiums](#)
- [2014 Annual Title Filing Instructions](#)
- [Medicare Check-up Days Schedule](#)
- [Severe Weather Prep & Recovery](#)
- [Insuring Ohio Futures](#)
- [Ohio Means Insurance Jobs](#)
- [Navigator and Agent Exchange Information](#)
- [Federal Health Reform FAQs](#)

Questions



Contact Information

Ohio Department of Insurance
Fraud & Enforcement Division
50 West Town Street, Suite 300
Columbus, OH 43215
(614) 644-2671

ODI.Enforcement@insurance.ohio.gov

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